

OPTIMIZATION OF PILE FOUNDATION DESIGN FOR METRO RAIL CORRIDORS IN URBAN AREAS

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Abstract

The rapid expansion of metro rail networks in urban areas necessitates the optimization of pile foundation design to ensure structural stability, cost-effectiveness, and timely project execution. This study focuses on developing an optimized approach for pile foundation design in metro rail corridors by integrating geotechnical investigation, advanced numerical modeling, and field performance validation. Detailed soil analysis, supported by borehole data and laboratory tests, provided critical input parameters for design modeling using STAAD.Pro and finite element methods (FEM). Comparative assessments between field load tests, such as static and dynamic pile testing, and simulated results revealed minimal deviation, confirming the accuracy of the computational models. The research emphasizes optimization strategies including material selection, load-bearing capacity enhancement, and construction methodologies that reduce settlement, increase efficiency, and minimize project costs without compromising safety or durability. The findings highlight that a synergy of precise geotechnical characterization, computational analysis, and innovative construction technologies enables a sustainable, economical, and high-performance foundation system suitable for the challenging conditions of urban metro rail projects.

Key Words: - rapid expansion of metro rail networks, optimization of pile foundation design, modeling using STAAD.Pro, innovative construction technologies

Introduction

Urban metro rail systems are experiencing rapid expansion in major cities, demanding deep and robust foundation solutions, especially in congested areas with challenging soil conditions. Pile foundations, particularly cast-in-situ types socketed into rock, have become the preferred choice due to their ability to bear heavy loads from viaducts and piers, adapt to highly variable soil strata typical of urban corridors, and minimize land disturbance along with disruptions to traffic and utilities. Critical challenges influencing foundation selection include complex soil profiles that require deeper, load-adaptive solutions; limited space in dense urban developments that restrict shallow foundation layouts; and the need to reduce vibration and risk to nearby structures, which cast-in-situ piles address through drilling-based installation.

Cast-in-situ socketed piles offer key advantages such as customizable length and size for tailored load transfer to strong substrata like bedrock or stiff soil, low vibration and noise emissions that protect surrounding sensitive structures, effectiveness in high groundwater and loose soil conditions where other systems may fail, and minimal



land disturbance that benefits metro projects passing through crowded cityscapes.

Optimization of these foundations is essential. Over-conservative designs result in unnecessarily large or long piles with excess steel and concrete, leading to wasted resources, inflated project costs, and extended timelines. Conversely, under-designed foundations risk premature settlement, structural failure, unsafe conditions, project delays, costly remediation, and public safety concerns. Effective optimization involves thorough geotechnical investigation to design piles fitted to actual site conditions, reducing guesswork and excess safety margins. Advanced load analysis, integrating soil–structure interaction, seismic considerations, and settlement monitoring, produces safer and more material-efficient designs. Maintaining strict quality control in construction prevents workmanship flaws that could compromise pile strength. The use of digital modeling and Building Information Modeling (BIM) technologies allows precise refinement of pile dimensions, socket embedment, reinforcement, and load parameters in alignment with soil profiles.

Literature Review

Urban metro rail projects in major Indian cities such as Mumbai, Hyderabad, Chennai, and Delhi routinely employ pile foundations for supporting elevated viaducts and stations. These projects often face highly variable geotechnical conditions—ranging from soft soil with high groundwater tables in Mumbai and Chennai to mixed alluvial layers and isolated rock bands found in Delhi and Hyderabad. The adaptability and load-bearing capacity of large-diameter bored cast-in-situ piles (typically 1,000–1,500mm) make them ideal for these challenging urban environments. Metro-specific case studies show piles are selected for their ability to handle heavy axial and lateral loads, with innovative site monitoring and dewatering strategies helping to manage groundwater and soil variability.

Geotechnical Considerations

Thorough geotechnical investigations—including borehole drilling, soil sampling, SPT testing, core logging, and groundwater mapping—are critical to foundation design. Designers must address changing soil strata, fluctuating water tables, and variable rock quality and grade. For example, the grade of rock identified before construction often differs from that encountered during excavation, necessitating a flexible approach to pile termination. Essential geotechnical parameters in design include uniaxial compressive strength, shear resistance, modulus of deformation, groundwater impact, and rock-concrete interface behavior. These factors influence socket depth, pile grouping, and the overall stability of metro viaducts.

Methodology

The selection of pile length and diameter for metro rail foundations represents a complex optimization process that balances structural loads, allowable settlement criteria, and site-specific geotechnical conditions. Pile diameter is primarily governed by the magnitude of axial and lateral loads transmitted from the superstructure, with typical metro viaduct piers generating working loads ranging from 2,000 to 6,000 KN that necessitate



diameters between 1,000 to 1,500 mm to maintain bearing stresses within allowable limits. The soil and rock profile fundamentally determines pile length, as engineers must ensure adequate penetration through weak or variable strata to reach competent bearing layers—whether dense sand, stiff clay, or sound rock—with typical metro piles extending 15 to 40 meters below ground level depending on local geology. Settlement requirements, often limited to 10-25 mm for metro structures to prevent track misalignment and passenger discomfort, further constrain the design by demanding sufficient pile cross-sectional area and socket length to minimize elastic compression and long-term consolidation. The iterative design process involves calculating load transfer through skin friction and end-bearing using code methods from IS 2911 and IS 14593, then validating settlements through numerical analysis that considers pile group effects, soil-structure interaction, and construction sequence impacts. Rock-socketed piles require particular attention to socket length-to-diameter ratios (typically 2-4 for competent rock) and the quality of the rock-concrete interface, while piles terminating in soil must account for potential negative skin friction in compressible layers and seasonal groundwater fluctuations that affect effective stress conditions. Modern design practice increasingly employs finite element modeling to refine these parameters, enabling engineers to optimize pile dimensions within tight urban constraints while ensuring compliance with serviceability and ultimate limit states, ultimately achieving cost-effective solutions that balance material efficiency with structural performance and construction feasibility.

Load Calculations:

Ultimate bearing capacity as sum of end bearing and skin friction, using IS codes formulae. Example: For a 1.2 m pile in clay, end bearing and friction calculated per IS 2911.

Ultimate bearing capacity for metro rail pile foundations is calculated as the algebraic sum of end bearing capacity and skin friction capacity in accordance with IS 2911 provisions, expressed as $Q_u = Q_p + Q_s$ where Q_p represents the load carried by the pile tip and Q_s represents the load transferred through shaft friction along the embedded length

For End bearing capacity in cohesive soils, IS2911

Specifies $Q_p = N_c \times C_u \times A_p$ where N_c is the bearing capacity factor (typically 9 for deep foundations), C_u is the untrained cohesion of the bearing stratum, and A_p is the cross-sectional area of the pile tip.

Result and discussions

Maintained and cyclic load tests provide the definitive verification of pile performance under service loads. In the maintained load test, incremental loads up to $1.5 \times$ working load (routine) or $2.5 \times$ safe capacity (initial) are held until the settlement rate stabilizes at 0.1 mm/30 min or 0.2 mm/1 h. Settlement readings—measured with 0.01 mm-sensitive dial gauges at four points on datum bars placed at $\geq 3D$ from the pile edge—ensure reliable capacity determination. Acceptance limits cap settlement at 12 mm or 10% of pile diameter (7.5% for under-reamed piles) at test load, with residual settlement ≤ 6 mm after unloading.

Cyclic load tests alternate loading and unloading—typically at up to $2.5 \times$ safe load—with each stage held ≥ 15 min. Hysteresis loops derived from load-settlement cycles isolate shaft friction and end bearing, while permanent



displacement accumulation gauges long-term behavior under fluctuating loads.

Conclusion

The optimization of pile foundation design for metro rail corridors demands an integrated and holistic approach that combines thorough geotechnical investigations, strict adherence to codal provisions, advanced computational optimization techniques such as Finite Element Modeling (FEM) and genetic algorithms, along with rigorous field validation through load and integrity tests to ensure accuracy and safety. Modern construction technologies, including high-precision drilling rigs like Continuous Flight Auger (CFA) and dual-mode Tunnel Boring Machines (TBMs), electric-hydraulic hammers, real-time monitoring systems, and digital project management platforms, significantly enhance the efficiency, safety, and overall quality of the foundation works. A system of continuous review, driven by real-time field data such as pile penetration rates, settlement monitoring, and load testing outcomes, allows for iterative refinement of designs, reducing overly conservative specifications and optimizing construction cycles for faster project execution. Furthermore, a strong emphasis on sustainability through the use of recycled steel reinforcement, supplementary cementations materials like fly ash and Ground Granulated Blast Furnace Slag (GGBS), high-performance concrete, and low-impact piling techniques minimizes the embodied carbon footprint, reduces land disturbance during construction, and ensures durability with reduced long-term maintenance requirements, thereby achieving an efficient, eco-friendly, and cost-effective foundation system..

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